

## **Appendix 8a**

### **PROSPECT CAPITAL CORPORATION**

#### **Privacy Policy**

It is our policy to safeguard the privacy of nonpublic, personal information regarding our individual shareholders.

#### **What We Do To Protect Personal Information of Our Shareholders**

We protect personal information provided to us by our shareholders according to strict standards of security and confidentiality. These standards apply to both our physical facilities and any online services we may provide. We maintain physical, electronic and procedural safeguards to protect consumer information and regularly review and update our systems to keep them current. We permit only authorized individuals, who are trained in the proper handling of shareholder information and who need to know this information to do their jobs, to have access to this information.

#### **Personal Information That We Collect And May Disclose**

As part of providing our shareholders with investment products or services, we may obtain the following types of nonpublic personal information:

- information we receive from shareholders in subscription documents, on applications or other forms, such as their name, address, telephone number, social security number, occupation, assets and income; and
- information about the value of a shareholder's investment, account activity and payment history.

#### **When We May Disclose Personal Information About Our Shareholders To Unaffiliated Third Parties**

We will not share nonpublic personal information about our shareholders collected, as described above, with unaffiliated third parties except:

- at a shareholder's request;
- when a shareholder authorizes us to process or service a transaction, for example in connection with an initial or subsequent investment (unaffiliated third parties in this instance may include service providers such as a custodian, data processor or printer);
- with companies that perform marketing services on our behalf or to other financial institutions with whom we have joint marketing agreements and who agree to use the information only for the purposes for which we disclose such information to them; or
- when required by law to disclose such information to appropriate authorities.

We do not otherwise provide nonpublic information about our shareholders to outside firms, organizations or individuals except to our attorneys, accountants and auditors and as permitted by law. We never sell information about shareholders or their accounts.

### **What We Do With Personal Information About Our Former Shareholders**

If a shareholder decides to no longer do business with us, we will continue to follow this privacy policy with respect to the information we have in our possession about such shareholder and his/her account.

Approved: August 22, 2019